



Rev. 04/01

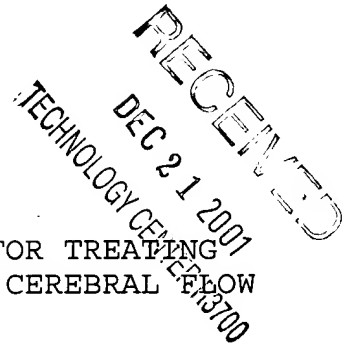
PATENTS  
AMS-011A

92/3763

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Dorros et al.  
Serial No. : 09/972,225  
Filed: : October 4, 2001  
For: : APPARATUS AND METHODS FOR TREATING  
STROKE AND CONTROLLING CEREBRAL FLOW  
CHARACTERISTICS



Hon. Commissioner  
for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR  
INFORMATION DISCLOSURE STATEMENT

Sir:

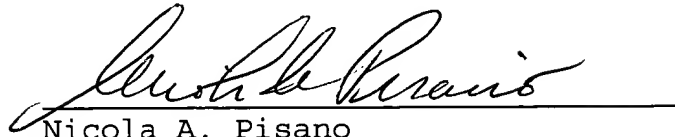
Transmitted herewith is an Information Disclosure  
Statement in the above-identified application. This  
Statement is submitted:

- ☐ [ ] within three months of the application filing date;
- ☒ [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of  
this Statement requires no fee. However, if for any reason  
a fee is due, the Director is hereby authorized to charge  
payment of any fees required in connection with this  
Information Disclosure Statement to Deposit Account

No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



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WASHINGTON, D.C. 20231, ON

10-26-2001  
LILY X. JIANG

  
Signature of Person Signing



PATENTS  
AMS-011A

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Hon. Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98,  
applicants hereby make the following documents of record in  
the above identified application: \*

U.S. Patents

Barbut	6,231,551	05/2001
Barbut	6,165,199	12/2000
Barbut	6,161,547	12/2000
Barbut	6,146,370	11/2000
Loubser	6,110,139	08/2000
Pranevicius et al.	6,105,582	08/2000
Lewis	6,044,845	04/2000
Engelson et al.	5,972,019	10/1999
Frazee et al.	5,908,407	06/1999
Wensel et al.	5,895,398	04/1999
Frazee	5,794,629	08/1998

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\* Applicants reserve the right to challenge the status of any  
of the cited documents as prior art.

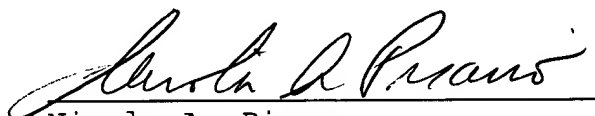
Copies of the aforementioned documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application.

Applicants request that a copy of Form PTO-1449 (submitted in duplicate herewith), as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.


Respectfully submitted,



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